

# US/UK DEFENCE TRADE COOPERATION TREATY

Industry Awareness Day

8 November 2011

Treaty Specific OGEL

## Export Control Organisation

Claire Harrison and Katie Osborne

# Open General License permitting export of UK-origin Defence Articles to US

- OGEL draft in discussion
- Purpose is to permit transfers of items under the Treaty to a member of the "Approved Community" in the US
- End User is US or UK Government only
- Could also be used to transfer items to UK or US Governments deployed in third countries
- OGEL will be available for registration on SPIRE

# Checks made on OGEL Registration

ECO will make security checks including

- MOD
- FCO
- HMRC

## Conditions and Requirements include

- Requirement to obtain any necessary F680 or F1686 approval
- Collection and retention of specific records
- Export documentation to include a note that the goods being exported are using this OGEL
- Export Authorisation will be valid for US or UK end Use only
- Restrictions on WMD End Use
- If goods protectively marked as RESTRICTED or above – written approval will be required from MOD

# Approval

- Applications for F680 approval can be made on SPIRE
- Overseas site clearances F1686 and Security Transportation approvals to be obtained from MOD
- F1686 procedure is applicable to List X companies
- Advice on associated technology transfers at a RESTRICTED marking or above can be obtained from MOD

## Specific Records to be kept

- Date and destination
- Consignee name and address
- Description of items
- MOD F680 or F1686 clearance letters or proof of PSI clearance
- Clearance from a government accreditation authority

# Audit Requirements

- Record shall be maintained for at least 6 years after date of export or transfer
- Exporter shall permit records to be inspected and copied by any person authorised by the Secretary of State
- Audit will involve site visits to exporter by ECO Compliance team
- If a warning letter concerning compliance failure is received exporter shall take steps to restore compliance
- Failure to comply may result in licence revocation or suspension